

Supp Stmt (152)
1/17/08
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 9896.149.0

CHAPPA, et al.

Application No.: 10/028,518

Examiner: Hai Vo

Filed: December 21, 2001

Group Art Unit: 1771

For: REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

**SUPPLEMENTAL SECTION 152 STATEMENT BY INVENTORS
UNDER 42 USC 2182 AND DEFINED IN 37 CFR 1.41**

Mail Stop L&R
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RECEIVED
JAN 16 2008
LICENSING & REVIEW

Dear Sirs:

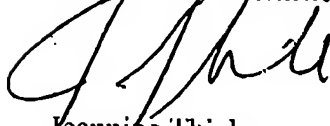
In accordance with the Office communication mail date of September 26, 2007, Applicant was notified that the Section 152 Statement filed October 21, 2002 under the provisions of Section 152 of the Atomic Energy Act, 42 U.S.C. 2182, as amended, was insufficient. Accordingly, Applicants hereby attach individually executed Section 152 Statements signed by inventors Ralph A. Chappa, Sean M. Stucke, Richard A. Amos, Terrence P. Everson, Pamela J. Reed, Legal Representative of Stephen J. Chudzik, deceased, Dale G. Swan, and Peter H. Duquette.

In view of the foregoing, it is submitted that this application is in condition for issuance. Favorable consideration and prompt issuance of the application are respectfully requested.

The Examiner and/or Licensing and Review are invited to telephone the undersigned if they believe it would be useful to advance issuance.

Application No. 10/028,518

Respectfully submitted,



Jeannine Thiele

Registration No. 54,939

Customer No. 55504
SurModics, Inc.
9924 West 74th Street
Eden Prairie, MN 55344
Telephone: (952) 345-3549
Facsimile: (952) 345-3560
Email: jmcycr@surmodics.com

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-4172.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to Licensing and Review, Special Laws Administration Group, U.S. Patent and Trademark Office, Fax No. (571) 273-0314 on the date shown below.

January 16, 2008
Date

Patricia Best
Patricia Best

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Ralph A. Chappa

citizens of United States of America

residing at 16260 Suel Lane, Prior Lake, Minnesota 55372

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 2001
titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModics, Inc. Other relevant facts are None

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
JAN 16 2008
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Ralph A. Chappa

Post Office Address: 6260 Suel Lane, Prior Lake, Minnesota 55372

Date: 12-10-07

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which **NO** Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Sean M. Stucke

citizens of United States of America

residing at 16975 Fieldcrest Avenue, Farmington, MN 55024

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 2001
titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModic, Inc. Other relevant facts are (name of employer) None

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
JAN 16 2008
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Sean M. Stucke

Post Office Address: _____

Date: 12/12/07

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which **NO** Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Richard A. Amos

citizens of United States of America

residing at 3437 Skycroft Circle, St. Anthony, Minnesota 55418

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 2001
 titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModic, Inc. Other relevant facts are (name of employer) None

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
 JAN 16 2008
 LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Richard A. Amos

Post Office Address: 3437 Skycroft Circle, St. Anthony, Minnesota 55418

Date: Dec 12, 2007

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which **NO** Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Terrence P. Everson

citizens of United States of America

residing at 560 Country Court, Egan, Minnesota 55123

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 2001
titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModics, Inc. Other relevant facts are None

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
JAN 16 2008
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Terrence P. Everson

Post Office Address: 560 Country Court, Egan, Minnesota 55123

Date: 1/10/08

Inventor's Signature: Terrence P. Everson

Post Office Address: 560 Country Court, Egan, MN 55123

Date: 1/10/08

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Pamela J. Reed, Legal Representative of Stephen J. Chudzik, deceased
citizens of United States of America
residing at 500 Robert Street N., #611, St. Paul, MN 55101
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 200
titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModics, Inc.. Other relevant facts are (name of employer) None

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
JAN 16 2008
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature X Pamela J. Reed

Post Office Address: 500 Robert Street N., #611, St. Paul, MN 55101

Date X 12/12/2007

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which **NO** Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Dale G. Swan

citizens of United States of America

residing at 1856 Parker Road, St. Louis Park, MN 55426

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 2001
titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModics, Inc. Other relevant facts are NONE

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
JAN 16 2008
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Dale G. Swan

Post Office Address: 1856 Parker Road, St. Louis Park, MN 55426

Date: Dec 12, 2007

Inventor's Signature: _____

Post Office Address: _____

Date: _____

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which **NO** Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Peter H. Duquette

citizens of United States of America

residing at 6813 Paiute Drive, Edina, Minnesota 55436

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/028,518 filed in the United States of America on December 21, 2001
titled REAGENT AND METHOD FOR PROVIDING COATINGS ON SURFACES

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by SurModics, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of SurModics, Inc. Other relevant facts are None

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____ of _____

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

RECEIVED
JAN 16 2008
LICENSING & REVIEW

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Peter H. Duquette

Post Office Address: 6813 Paiute Drive, Edina, Minnesota 55436

Date: 12/11/07

Inventor's Signature: _____

Post Office Address: _____

Date: _____



Facsimile Cover Sheet

To	ATTN: Ms. Jeter - LICENSING AND REVIEW
Company	United States Patent and Trademark Office
Phone	571-272-6598
Fax	571-273-0314
From	Jcannine Thiele Meyer
Company	SurModics, Inc.
Phone	952-345-3525
Fax	952-345-3560
Date	16 January 2008
Pages including this cover page	10

RECEIVED
JAN 16 2008
LICENSING & REVIEW

Comments

TIME SENSITIVE-DELIVER IMMEDIATELY
PLEASE ACKNOWLEDGE RECEIPT OF FAX BY CALLING 952-345-3525

Ms. Jeter:

Re: U.S. Patent Application No. 10/028,518
Filed: December 21, 2001
Title: Reagent and Method for Providing Coatings on Surfaces
Our Ref: 9896.149.0

CONFIDENTIAL INFORMATION OF SURMODICS, INC. Reproduction, Disclosure or Use Without Prior Written Permission is Prohibited.
The information contained in this fax, and any attachments to it, is intended only for the use of the individual(s) named. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this communication is strictly prohibited and may be unlawful. If you have received this communication in error, please notify SurModics, Inc., immediately.